

## Rollover Securities

Rollover specialist: 1.877.464.0292

**1 OPEN** a ShareBuilder IRA and complete this form.

**2 CONTACT** your plan administrator for any paperwork they require.

**3 FAX** or mail all paperwork to ShareBuilder to the number or address below.  
*Include a copy of your most recent statement from your retirement account.*



**FAX documents to:**

ShareBuilder fax number  
 (available 24 hours per day)  
**866.996.6417 or 206.805.0791**



**MAIL documents to:**

**ShareBuilder Securities Corporation**  
 P.O. Box 4249  
 Seattle, WA 98194-0249

**"TO" (ShareBuilder account information)**

ShareBuilder Account Number: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ (example: 1000-1234567890-01)

Account Owner: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

**"FROM" (other retirement account information)**

Account type:  401(k)  403(b)  457  Other Employer Plan

Account Owner: \_\_\_\_\_ Account Number: \_\_\_\_\_

Firm Name: \_\_\_\_\_ Firm Phone: \_\_\_\_\_

Firm Address: \_\_\_\_\_  
Street Address City, State, Zip

**Assets to be transferred**

Step 1: Type of transfer:  **Full** — transfer all account assets. List ALL securities to be transferred in-kind to ShareBuilder.  
 **Partial** — transfer only the securities listed below.

Step 2: Securities to transfer—Indicate what stocks you wish to transfer.\* Verify that your securities may be transferred by looking at each quote on the ShareBuilder web site.

Security Name	Symbol	Quantity (whole shares only)

\*All positions are transferred in kind.

More to list? See page 2.

**Authorization and signature**

We require a signature of the account owner in order to transfer your assets. Your signature indicates your understanding of and agreement to this transaction.

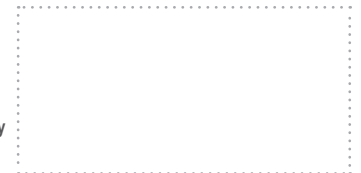
I authorize ShareBuilder Securities Corporation to transfer the assets specified in the above form (and on the attached form if applicable), from the brokerage listed in the "FROM" section, to the ShareBuilder account listed in the "TO" section.

**X**

SIGNATURE

DATE

Signature guarantee or notary



This area reserved for office use.

